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PTO SB 0511-98  
Approved for use through 09/30/2000 OMB 0551-0522  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 0756-2232

First Inventor or Application Identifier: Shunpei YAMAZAKI et al.

Title: LIQUID CRYSTAL PANEL AND LIQUID CRYSTAL PROJECTOR

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- 1 ☒ Fee Transmittal Form (e.g., PTO SB 17)  
Submit an original, and a duplicate for fee processing. Total Pages [68]
- 2 ☒ Specification  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the DisclosureTotal Sheets [27]  
Total Pages [5]
- 3 ☒ Drawing(s) (35 USC 113)
- 4 ☒ Oath or Declaration
  - a ☒ Newly executed (original or copy)
  - b ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - i ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application.  
see 37 CFR 1.63(d)(2) and 1.33(b)
- 5 ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b,  
is considered to be part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

- 6 ☐ Microfiche Computer Program (Appendix)
- 7 Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a ☐ Computer Readable Copy
  - b ☐ Paper Copy (identical to computer copy)
  - c ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- 8 ☒ Assignment Papers (cover sheet & document(s))
- 9 ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
- 10 ☐ English Translation Document (if applicable)
- 11 ☒ Information Disclosure Statement ☒ Copies of IDS  
(IDS)/PTO-1449 Citations
- 12 ☐ Preliminary Amendment
- 13 ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- 14 ☐ \*Small Entity ☐ Statement filed in prior application.  
Statement(s) Status still proper and desired  
(PTO/SB/09-12)
- 15 ☒ Certified Copy of Japanese Priority Document  
No. 11-339056 Filed: November 30, 1999
- 16 ☐ Other

\*A new statement is required to be entitled to pay small entity fees,  
except where one has been filed in a prior application and is being  
relied upon.

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_  
Group/Art Unit: \_\_\_\_\_
- Prior application information: Examiner: \_\_\_\_\_

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

Customer No. 22204

or ☒ Correspondence address below

Insert Customer No. or Attach bar code label here:

Name: Eric J. Robinson  
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Name: Eric J. Robinson

Registration No. 38,285

Date: 11/22/00

Signature

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# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.  
 These are the fees effective October 1, 1997. Small Entity  
 payments must be supported by a small entity statement,  
 otherwise large entity fees must be paid. See Forms  
 PTO SB 24-12

## Complete If Known

Application Number  
 Filing Date November **22** 2000  
 First Named Inventor Shunpei YAMAZAKI et al.  
 Examiner Name  
 Group Art Unit  
 Attorney Docket Number 0756-2232

TOTAL AMOUNT OF PAYMENT \$2,838.00

### METHOD OF PAYMENT (check one)

- 1 ☒ [X] The Commissioner is hereby authorized to charge indicated fees and  
 credit any over payments to  
 Deposit Account No. 19-2380  
 Deposit Account Name: NIXON PEAODY LLP
- ☐ [X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ [ ] Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of  
 Allowance
- 2 ☐ [X] Payment Enclosed  
☐ [X] Check ☐ [ ] Money Order ☐ [ ] Other

### FEE CALCULATION

#### 1 BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
101 710	201 355	Utility filing fee	[710]
105 320	206 160	Design filing fee	[ ]
107 490	207 245	Plant filing fee	[ ]
108 710	208 355	Reissue filing fee	[ ]
114 150	214 75	Provisional filing fee	[ ]
SUBTOTAL (1)			\$710.00

#### 2 EXTRA CLAIM FEES

Extra Claims Fee from Below Fee Paid

Total Claims 96 - 20\*\* = 76 X \$18.00 = \$1,368.00  
 Independent Claims 12 - 3\*\* = 9 X \$80.00 = \$ 720.00  
 Multiple Dependent Claims \$270.00 = \$

\*\*or number previously paid, if greater. For Reissues, see below

Large Entity	Small Entity	Fee Description
Code (\$)	Code (\$)	
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim
109 80	209	40**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$2,088.00

### FEE CALCULATION (continued)

#### 3 ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)		
105 120	205 65	Surcharge-late filing fee or oath	
127 50	207 25	Surcharge-late provisional filing fee or cover sheet	
139 130	109 130	Non-English specification	
147 2,520	117 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Ext for reply within first month	
116 390	216 190	Ext for reply within second mth	
117 870	217 435	Ext for reply within third mth	
118 1,260	218 680	Ext for reply within fourth mth	
128 1,850	218 925	Ext for reply within fifth month	
113 300	219 150	Notice of Appeal	
120 300	220 150	Filing brief in support of appeal	
121 260	221 130	Request for Oral Hearing	
138 1,510	138 1,510	Petition to institute public use proceeding	
140 110	240 55	Petition to revive-unavoidable	
141 1,110	241 605	Petition to revive-unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of IDS	
581 40	581 40	Recording each patent assignment per property (times number of properties)	\$40.00
146 760	246 380	Filing a submission after final rejection (37 CFR 1.129(a))	
149 760	249 380	For each additional invention to be examined (37 CFR 1.129(b))	
		Other _____	
		Other _____	
SUBTOTAL (3)			\$40.00

\*Reduced by Basic Filing Fee Paid

### Complete (if applicable)

#### SUBMITTED BY

Typed or Printed Name Eric J. Robinson

Signature

Date

11/24/00

Reg. Number

38,285

Deposit Account User ID

19-2380

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